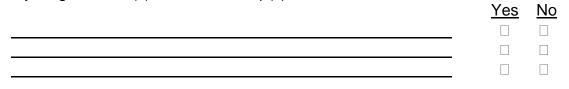
Personal Financial Planning Questionnaire

Part I • Personal and Family Information

Your full name			
Date of Birth// Place of B	Birth		
Spouse's full name			
Date of Birth// Place of Bi	irth		
Home Address			
City State	Zip		
Home Telephone Number ()	·		
Email:			
Prior Marriages Have you been married previously? Has your spouse been married previou		<u>res</u>	<u>No</u> □
Children Name		Deper Yes	ndent No
Grandchildren Number	Age(s)		
Does anyone other than your children of	Lepend intancially on you of yo	ours	pouse

Does anyone other than your children depend financially on you or your spouse? If yes, give name(s) and relationship(s):



Part II • Financial Planning Goals and Objectives

Financial Planning Goals

Please list your specific financial planning goals and indicate their relative importance to you and your spouse.

			You		Spouse
		<u>Very</u>	<u>Somewhat</u>	Very	Somewhat
Go	<u>bal</u>				
а.					
b.					
		_	_	_	_
С.					
4					
d.					

Part III • Insurance

1. Life Insurance – Other than through employer

	Face Value	Cash <u>Surrender Value</u>	<u>Beneficiary</u>	Policy <u>Owner</u>
Whole Life/Universal Life You Spouse				
Term				
You Spouse				

2. Life Insurance – Employer Sponsored

	Face Value	Beneficiary
You Spouse		

3. General Insurance

	Check appropriate boxe				;
				<u>Spouse</u>	
Are you and/or your spouse covered by the following insurance?	Yes	No	Yes	<u>No</u>	
Hospitalization, Major Medical, HMO					
Long – Term Care					
Long – Term Personal Disability					
Personal Umbrella Liability					
Professional Liability					

Part IV • Retirement Planning

At what age do you and your spouse plan to retire? You Spouse		_
	Yes	<u>No</u>
Are you taking full advantage of elective deferrals (401k and 403b plans)?		
Do you expect to receive any inheritances?		
Does your spouse expect to receive any inheritances?		
Please answer the following only if you are over 50.		
1. Are you eligible for social security benefits?		
2. Is your spouse eligible for social security benefits?		
 Have you estimated how much income you will have upon retirement? If you have estimated your retirement income, do you think it's sufficient to 		
live on?		
5. Will you have the option of taking a lump-sum pension payment instead of an		
annuity at retirement?		
6. Have you considered alternate places for living when you retire?		
7. What will your income requirements be when you retire (in today's dollars)?		
8. Describe your plans for retirement. Include a description of your retirement life	style.	

Part V • Pension and Social Security

1. Defined Benefit Pensions

Include information on pension plans that provide an annual income based on your years of service or income level.

	You	<u>Spouse</u>
Starting Age Anticipated annual amount Survivor benefit percentage		
2. Social Security		
Age to start benefits Anticipated Annual Amount		

Part VI • Expenses

1. Annual living Expenses (in today's dollars). Estimate the annual cost of your living expenses excluding taxes. Currently _____

During Retirement

2. Education and Special Expenses. List any other sources of income or special expenses including education expenses and college funds, that you expect.

Description	Annual <u>Amount</u>	Starting <u>Year</u>	Number <u>of Years</u>

Part VII • Other Matters

	<u>Check</u>	Check appropriate boxes		
	Yc	<u>u</u>	<u>Spo</u>	use
	Yes	<u>No</u>	<u>Yes</u>	<u>No</u>
a. Do you have a will?				
b. Are you planning to make any changes to the will?				
c. Do you have a living will?				
d. Do you have a signed health care proxy?				

Statement of Assets and Liabilities

Assets	Spouse 1	Spouse 2	Joint	Total
Checking and savings	\$	\$	\$	\$
Investments				
Real Estate				
Business assets, net				
Life insurance				
Pensions and IRAs				
Personal property				
Other				
Totals (1)	\$	\$	\$	\$
Liabilities				
Mortgages	\$	\$	\$	\$
Notes				
Other				
Totals (2)	\$	\$	\$	\$
Net Assets (1-2)	\$	\$	\$	\$